

Attorney's Docket No.: 12023-003001

Section

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Karun Philip et al.

Art Unit : Unknown

Serial No. : 09/693,568

Examiner : Unknown

Filed : October 20, 2000

Title : SEGREGATION AND MANAGEMENT OF FINANCIAL ASSETS BY RULES

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed December 14, 2000 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Payment of additional claim fees of \$36;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

February 12, 2001

Signature

Marie Collins

Marie Collins

Typed or Printed Name of Person Signing Certificate

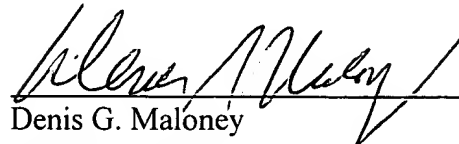
Applicant : Karun Philip et al.  
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Filed : October 20, 2000  
Page : 2

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Respectfully submitted,

Date: \_\_\_\_\_

2/12/2001



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,568	10/20/2000	Karun Philip	12023-003001

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225 Franklin Street  
Boston, MA 02110-2804



## FORMALITIES LETTER



\*OC000000005619266\*

Date Mailed: 12/14/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is \$ 456.

A copy of this notice **MUST** be returned with the reply.

*Paula Briv*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

355.00  
36.00  
65.00

02/20/2001 02/20/2001 00000140 09693568

01 FC:201  
02 FC:203  
03 FC:205

12/13/00